

11/17/99
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PTO/SB/05 (12/97)
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	99-041	Total Pages	39
	First Named Inventor or Application Identifier			
	KEITH E. LEJEUNE ET AL.			
	Express Mail Label No.	EL317713525US		

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages 24] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 5] 4. Oath or Declaration [Total Pages 6] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS

8. <input checked="" type="checkbox"/> *	Assignment Papers (cover sheet & document(s))
9. <input type="checkbox"/>	37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
10. <input type="checkbox"/>	English Translation Document (if applicable)
11. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/>	Preliminary Amendment
13. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. <input checked="" type="checkbox"/>	Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. <input type="checkbox"/>	Other: * Assignment to Agentase, LLC will follow

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Henry E. Bartony, Jr.				
	Date: November 17, 1999 <i>Henry E Bartony</i>				
ADDRESS	Bartony & Hare, Suite 1801				
	429 Fourth Avenue				
CITY	Pittsburgh	STATE	Pennsylvania	ZIP CODE	15219
COUNTRY	USA	TELEPHONE	(412) 338-8632	FAX	(412) 338-6611

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**STATEMENT CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
 99-041

Applicant, Patentee, or Identifier: KEITH E. LEJEUNE AND ALAN J. RUSSELL

Application or Patent No.: TO BE ASSIGNED

Filed or Issued: NOVEMBER 17, 1999

Title: ENZYME-CONTAINING POLYURETHANES

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN AGENTASE, LLC

ADDRESS OF SMALL BUSINESS CONCERN 300 TECHNOLOGY DRIVE, SUITE 313
PITTSBURGH, PENNSYLVANIA 15219, USA

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING KEITH E. LEJEUNE

TITLE OF PERSON IF OTHER THAN OWNER PRESIDENT

ADDRESS OF PERSON SIGNING 300 TECHNOLOGY DRIVE, PITTSBURGH, PENNSYLVANIA USA

SIGNATURE _____ DATE _____

JC542 U.S. PRO
09/441592



CERTIFICATION OF EXPRESS MAILING

I hereby certify that the attached Utility Patent Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date November 17, 1999, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL317713525US, addressed to the: Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Henry E. Bartony, Jr.

TYPE OR PRINT NAME OF PERSON MAILING PAPER

Henry E. Bartony, Jr.

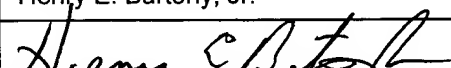
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U.S. Patent Application Serial No.: TO BE ASSIGNED
Entitled: ENZYME-CONTAINING POLYURETHANES
Attorney Docket No.: 99-041

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FEE TRANSMITTAL Note: Effective October 1, 1997. Patent fees are subject to annual revision.	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left;">Complete if Known</th> </tr> <tr> <td style="width: 50%;">Application Number</td> <td>TO BE ASSIGNED</td> </tr> <tr> <td>Filing Date</td> <td>NOVEMBER 17, 1999</td> </tr> <tr> <td>First Named Inventor</td> <td>KEITH E. LEJEUNE</td> </tr> <tr> <td>Group Art Unit</td> <td>TO BE ASSIGNED</td> </tr> <tr> <td>Examiner Name</td> <td>TO BE ASSIGNED</td> </tr> <tr> <td>Attorney Docket Number</td> <td>99-041</td> </tr> </table>	Complete if Known		Application Number	TO BE ASSIGNED	Filing Date	NOVEMBER 17, 1999	First Named Inventor	KEITH E. LEJEUNE	Group Art Unit	TO BE ASSIGNED	Examiner Name	TO BE ASSIGNED	Attorney Docket Number	99-041
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TOTAL AMOUNT OF PAYMENT (\$) 470.00															

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																						
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: <u>02-1065</u></p> <p>Deposit Account Name: <u>BARTONY & HARE</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. 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144	670	244	335	Plant issue fee																																																																																																																																																																																																																																																																																																			
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																			
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																			
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																			
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																			
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																			
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																			
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Henry E. Bartony, Jr.		Reg. Number	34,772	
Signature			Date	Nov. 17, 1999	
			Deposit Account User ID		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.